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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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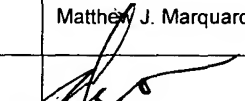
<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	<b>Attorney Docket No.</b> 3524/180	
	<b>First Inventor</b>	Hausman
	<b>Title</b>	Complementary Trading of Interests
	<b>Express Mail Label No.</b> EV330370816US	

22386 U.S. PTO  
10/643663  
08/19/03

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
<p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> <b>Specification</b> <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">21</span>]</span> <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 U.S.C. 113) <span style="float: right;">[Total Sheets <span style="border: 1px solid black; padding: 0 5px;">7</span>]</span></p> <p>5. <b>Oath or Declaration</b> <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">  </span>]</span> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input checked="" type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p style="text-align: center;"><b>ACCOMPANYING APPLICATIONS PARTS</b></p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>

18. If a CONTINUING APPLICATION, SEE APPLICATION DATA SHEET:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<b>29858</b>		or <input type="checkbox"/> Correspondence address below	
<small>(Insert Customer No. or Attach bar code label here)</small>					
Name	Brown Raysman Millstein Felder & Steiner LLP				
Address	900 Third Avenue				
City	New York	State	NY	Zip Code	10022
Country	United States	Telephone	212-895-2000	Fax	212-895-2900
Name (Print/Type)		Matthew J. Marquardt		Registration No. (Attorney/Agent)	
Signature				Date	
				August 19, 2003	

PTO/SB/92 (08-00)

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BRMFS1 426020v1

05/08 U.S. PTO

08/19/03

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

<b>TOTAL AMOUNT OF PAYMENT</b>		(\$)	992	<b>Application Number</b>	Not Yet Assigned
				<b>Filing Date</b>	August 19, 2003
				<b>First Named Inventor</b>	Hausman
				<b>Examiner Name</b>	Not Yet Assigned
				<b>Group / Art Unit</b>	Not Yet Assigned
				<b>Attorney Docket No.</b>	3524/180

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

02-4270

Deposit  
Account  
Name

Brown Raysman Millstein Felder &amp; Steiner LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$740)

**2. EXTRA CLAIM FEES**

				Extra Claims		Fee from below		Fee Paid
Total Claims	15	-20 **	=	0	X	18	=	0
Independent Claims	6	-3 **	=	3	X	84	=	252
Multiple Dependent					X		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$) 252

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	2051	65	Surcharge - late filing fee or oath	
127	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	1053	130	Non-English specification	
147	2,520	1812	2,520	For filing a request for reexamination	
112	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
115	110	2251	55	Extension for reply within first month	
116	410	2252	205	Extension for reply within second month	
117	930	2253	465	Extension for reply within third month	
118	1,450	2254	725	Extension for reply within fourth month	
128	1,970	2255	985	Extension for reply within fifth month	
119	320	2401	160	Notice of Appeal	
120	320	2402	160	Filing a brief in support of an appeal	
121	280	2403	140	Request for oral hearing	
138	1,510	1451	1,510	Petition to institute a public use proceeding	
140	110	2452	55	Petition to revive - unavoidable	
141	1,300	2453	650	Petition to revive - unintentional	
142	1,300	2501	650	Utility issue fee (or reissue)	
143	470	2502	235	Design issue fee	
144	630	2503	315	Plant issue fee	
122	130	1460	130	Petitions to the Commissioner	
123	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
126	180	1806	180	Submission of Information Disclosure Stmt	
581	40	8021	40	Recording each patent assignment per property (times number of properties)	
146	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination -RCE	
1802	900	1802	900	Request for expedited examination of a design application	

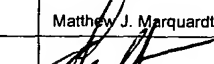
Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$) 0

**SUBMITTED BY**

Name (Print/Type)	Matthew J. Marquardt	Registration No. Attorney/Agent)	40,997	Telephone	212-895-2906
Signature				Date	August 19, 2003

**Complete (if applicable)**